

**SPECIAL MEETING OF THE BOARD OF DIRECTORS  
OF THE  
CENTRAL TEXAS REGIONAL MOBILITY AUTHORITY**

**RESOLUTION NO. 12-089**

**APPROVING THE COMPLIANCE REPORT SUBMITTED  
TO THE TEXAS DEPARTMENT OF TRANSPORTATION  
REQUIRED BY 43 TEX. ADMIN. CODE § 26.65.**

WHEREAS, the Texas Department of Transportation has adopted rules codified at Subchapter G, Chapter 26, Title 43 of the Texas Administrative Code to require the Mobility Authority to report certain financial information including the annual budget and independent audit to Travis County and Williamson County, the counties that are a part of the Central Texas Regional Mobility Authority; and

WHEREAS, by Resolution No. 12-038 enacted June 27, 2012, the Board approved the budget for fiscal year 2012-1013; and

WHEREAS, by Resolution No. 12-067 enacted October 31, 2012, the Board approved the independent audits of the Mobility Authority for the fiscal year ending June 30, 2012, prepared by PMB Helin Donavan, LLP, by the action of its Audit Committee; and

WHEREAS, the Compliance Report attached as Exhibit 1 to this resolution reports to the Texas Department of Transportation the information required by 43 TEX. ADMIN. CODE § 26.65.

NOW THEREFORE, BE IT RESOLVED, that the Board of Directors hereby approves the Compliance Report in the form attached as Exhibit 1 to this resolution, and authorizes the Executive Director to submit the Compliance Report to the Texas Department of Transportation.

Adopted by the Board of Directors of the Central Texas Regional Mobility Authority on the 21<sup>st</sup> day of December, 2012.

Submitted and reviewed by:



Andrew Martin  
General Counsel for the Central  
Texas Regional Mobility Authority

Approved:



Ray A. Wilkerson  
Chairman, Board of Directors  
Resolution Number: 12-089  
Date Passed: 12/21/2012

**Central Texas Regional Mobility Authority**  
Texas Administrative Code Title 43, Part I, Chapter 26, Subchapter G

**Compliance Report**

Compliance Rule	Compliance Statement	Certification
<i>Rule 26.61 Written Reports:</i>		
The annual operating and capital budget adopted by the RMA year.	CTRMA notified Travis and Williamson Counties of approval of the FY 2013 annual operating and capital budgets on June 27, 2012 for the FY beginning on July 1, 2012. The budget is posted on the CTRMA website for download.	CTRMA Board of Directors approved the FY 2013 Budget at the June 27, 2012, Board Meeting.
Any annual financial information and notices of material events required to be disclosed under Rule 15c2-12 of the SEC.	There were no notices required to be filed in fiscal year 2012.	N/A
To the extent not disclosed in another report required in this compliance report, a statement of any surplus revenue held by the RMA and a summary of how it intends to use the surplus revenue.	The Mobility Authority did not have any "surplus revenues" in FY 2011-2012, as that term is defined by §370.003(12) of the Transportation Code.	FY 2012 Annual Audit accepted by the CTRMA Board of Directors at the October 31, 2012, Board Meeting.
An independent auditor's review of the reports of investment transactions prepared under Government Code, §2256.023.	Included as part of the FY 2012 audit. See certification below.	FY 2012 Annual Audit accepted by the CTRMA Board of Directors at the October 31, 2012, Board Meeting.
<i>Rule 26.62 Annual Audit:</i>		
The RMA shall maintain its books and records in accordance with generally accepted accounting principles in the United States and shall have an annual financial and compliance audit of such books and records.	The CTRMA received an unqualified opinion for FY 2012 from an independent certified public accountant. CTRMA notified Travis and Williamson Counties on October 31, 2012, of acceptance of the audit for the FY ending June 30, 2012. The annual audit is posted on the CTRMA website for download.	FY 2012 Annual Audit accepted by the CTRMA Board of Directors at the October 31, 2012, Board Meeting.
All work papers and reports shall be retained for a minimum of four years from the date of the audit.	Work papers and reports are retained for a minimum of four years.	N/A